1 CHRISTOPHER C. COOKE, ESQ. (#142342) 2 STEPHEN S. WU, ESQ. (#205091) COOKE KOBRICK & WU LLP IT IS SO ORDER 3 177 Bovet Road, Suite 600 San Mateo, CA 94402 4 Telephone: (650) 638-2370 Facsimile: (650) 240-0360 Email: ccooke@ckwlaw.com 6 swu@ckwlaw.com 7 Attorneys for Plaintiff VIOLETTA ETTARE 8 9 10 UNITED STATES DISTRICT COURT 11 NORTHERN DISTRICT OF CALIFORNIA 12 SAN JOSE DIVISION 13 14 VIOLETTA ETTARE. Case No.: C-07-4429-JW (PVT) 15 Plaintiff, SECOND JOINT STATUS REPORT ON ARBITRATION 16 vs. ORDER SETTING DEADLINE FOR 17 THIRD STATUS REPORT JOSEPH E. BARATTA, an individual. TBIG FINANCIAL SERVICES, INC., form) 18 of business unknown, WACHOVIA SECURITIES, LLC, a Delaware Limited 19 Liability Company, MARK WIELAND, an individual, and DOES 1-25. 20 Defendants. 21 22 23 24 The parties hereby jointly submit to the Court, through their respective counsel, 25 this Second Joint Status Report, in response to the Court's Order Compelling Arbitration 26 Before FINRA And Staving District Court Proceedings Pending Completion Of The 27 Arbitration, dated June 11, 2008. By order filed on September 4, 2008, the Court

directed the parties to submit another report on or before December 12, 2008 regarding

28

1 the status of the arbitration. Pursuant to that order, the parties advise as follows: 2 On or about June 20, 2008, plaintiff submitted a Statement of Claim to the 3 Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process. 4 In September 2008, defendants answered the statement of claim, defendants Baratta 5 and TBIG Financial Services, Inc. served a counterclaim on plaintiff. In October, 6 plaintiff submitted an answer to the counterclaim. In November, an arbitration panel 7 was selected, and on December 1, the initial prehearing conference was held. The 8 parties and the arbitrators have scheduled the hearing for the arbitration for June 8 9 through June 12, 2009 in San Francisco. 10 /S/ DATED: December ____, 2008 11 CHRISTOPHER C. COOKE STEPHEN S. WU 12 COOKE KOBRICK & WU LLP Attorneys for Plaintiff 13 VIOLETTA ETTARE 14 /S/ (By permission) DATED: December , 2008 15 GILBERT R. SEROTA DIANA C. CAMPBELL MILLER 16 HOWARD RICE NEMEROVSKI CANADY 17 FALK & RABKIN Attorneys for Defendants JOSEPH E. BARATTA and TBIG 18 FINANCIAL SERVICES, INC. 19 /S/ DATED: Decomber , 2008 20 JUDY LOUIE WACHOVIA SECURITIES LLC 21 Attorneys for Defendants WACHOVIA SECURITIES, LLC and 22 MARK WIELAND 23 *** ORDER *** 24 In light of the parties' representation, the Court sets another deadline for the parties to file a Third Status Report to update the Court on the progress of arbitration. On or before June 22, 25 **2009**, the parties shall file their Third Status Report. 26 Dated: December 16, 2008 27 United States District Judge 28